



Patent  
Attorney's Docket No. 033962-002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Charles M. Hastings, Sr.

Application No.: 10/669,487

Filed: September 23, 2003

For: AUDIBLE WARNING SYSTEM FOR  
VEHICLES OUTFITTED WITH  
EXTENSIBLE STRUCTURES

)  
)  
) Group Art Unit: Unassigned

)  
) Examiner: Unassigned

)  
) Confirmation No.: Unassigned

**FIRST INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 30, 2003

By: 76 M. 2  
Kirk M. NUZUM  
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**FIRST  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

**U.S. Patent Documents**

3,833,898	Wilkinson	09-03-1974
3,882,957	Fritz	05-13-1975
4,064,997	Holland et al.	12-27-1977
4,243,127	Lee et al.	01-06-1981
4,649,375	Duppong et al.	03-10-1987
4,727,447	Rome	02-23-1988
4,849,735	Kirtley et al.	07-18-1989
4,979,588	Pike et al.	12-25-1990
5,371,581	Wangler et al.	12-06-1994
5,685,255	Arzu	11-11-1997
5,850,891	Olms et al.	12-22-1998
5,980,070	Hulse et al.	11-09-1999
6,046,706	Vargas	04-04-2000
6,069,558	Kershaw	05-30-2000
6,133,841	Beckmann	10-17-2000
6,170,607	Freeman et al.	01-09-2001
6,252,513	Beckmann	06-26-2001
6,595,330	Henrickson et al.	07-22-2003

Copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b) no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

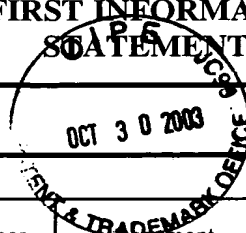
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Substitute for forms 1449A/PTO &amp; 1449B/PTO

ATTORNEY'S DKT NO.  
033962-002APPLICATION NO.  
10/669,487**FIRST INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**APPLICANT  
Charles M. Hastings, Sr.FILING DATE  
September 23, 2003GROUP  
Unassigned**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	3,833,898		Wilkinson	09-03-1974
	3,882,957		Fritz	05-13-1975
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	6,170,607		Freeman et al.	01-09-2001
	6,252,513		Beckmann	06-26-2001
	6,595,330		Henrickson et al.	07-22-2003

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation Yes	No

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.